

## CANONICAL STRATIFICATION OF DEFINABLE LIE GROUPOIDS

MASATO TANABE

ABSTRACT. Our aim is to precisely present a tame topology counterpart to canonical stratification of a Lie groupoid. We consider a definable Lie groupoid in semialgebraic, subanalytic, o-minimal over  $\mathbb{R}$ , or more generally, Shiota's  $\mathfrak{X}$ -category. We show that there exists a canonical Whitney stratification of the Lie groupoid into definable strata which are invariant under the groupoid action. This is a generalization and refinement of results on real algebraic group action which J. N. Mather and V. A. Vassiliev independently stated with sketchy proofs. A crucial change to their proofs is to use Shiota's isotopy lemma and approximation theorem in the context of tame topology.

### 1. INTRODUCTION

It is a basic problem to find some nice decomposition of a given space, e.g., triangulation, cellular decomposition, and Whitney stratification. As a particular example, while less known, J. N. Mather [11, Theorem 1] and V. A. Vassiliev [17, Theorem 8.6.6] independently have shown that for a real algebraic manifold with real algebraic group action, there exists a  $C^\omega$  Whitney stratification into invariant semialgebraic strata. In their works, a major example is the jet space  $J^r(n, p)$  with the action of algebraic groups  $\mathcal{A}^r$ ,  $\mathcal{K}^r$ ,  $\mathcal{R}^r$  of  $r$ -jets of diffeomorphism-germs, which takes an important role in singularity theory. Also in a different context, the existence of invariant Whitney stratification of a proper Lie groupoid in  $C^\infty$  category is very recently discussed in Crainic–Mestre [4]. That is also related to a new trend in homotopy theory on conically smooth stratifications (cf. Lurie [9] and Ayala–Francis–Tanaka [2]).

The result of Mather or Vassiliev has potential to be generalized in two directions. The one is the extension from group actions to groupoids as in [4], and the other is from the semialgebraic category to an o-minimal category over  $\mathbb{R}$  (van den Dries [18]) or  $\mathfrak{X}$ -category (Shiota [14]). A Lie groupoid is an axiomatic generalization of a group, a group action, a group bundle, and an equivalence relation with differentiable structure, e.g., the jet bundle  $J^r(N, P)$  for manifolds  $N$  and  $P$  with the action of  $r$ -jets of diffeomorphism-germs at all points and also multi-jet bundles as well. An orbifold groupoid, a central example of Lie groupoids, takes an important role to describe the precise structure of moduli spaces appearing in various geometries, e.g., the moduli space of stable pointed Riemann surfaces. On the other hand, an o-minimal category or  $\mathfrak{X}$ -category is an axiomatic generalization of semialgebraic category or subanalytic category, respectively. These categories are known as typical examples of Grothendieck's 'tame topology' [1, 6], because they cause no topologically wild phenomena and every definable set over them admits an appropriate decomposition, e.g., Whitney stratification (resp. triangulation, cellular decomposition) whose all strata (resp. simplices, cells) are also definable. Note that the notion of definable Lie groupoid in o-minimal category can be found in, e.g., Hrushovski [7].

Now we state a semialgebraic version of the main theorem.

---

2020 *Mathematics Subject Classification*. Primary 14P10, Secondary 32B20.

*Key words and phrases*. Semialgebraic sets, subanalytic sets, o-minimal category,  $\mathfrak{X}$ -category, Lie groupoids, orbit spaces, Whitney stratification, and isotopy lemma.

**Theorem 1.1.** *Let  $\mathcal{G} \rightrightarrows M$  be a semialgebraic  $C^\omega$  (that is Nash) Lie groupoid. Then, there exists a filtration*

$$M = M_0 \supset M_1 \supset M_2 \supset \cdots \supset M_{d+1} = \emptyset$$

of  $M$  such that for each  $i = 0, 1, \dots, d (= \dim M)$ ,

- (1) *the set  $M_i$  is a  $\mathcal{G}$ -invariant semialgebraic closed subset of  $M$ ;*
- (2) *the set  $M_i - M_{i+1}$  is a semialgebraic  $C^\omega$  manifold of codimension  $i$  in  $M$  (unless it is empty) and  $\{M_i - M_{i+1}\}_{i=0}^d$  is a Whitney stratification of  $M$ ;*
- (3) *the quotient space  $(M_i - M_{i+1})/\mathcal{G}$  admits a  $C^\omega$  manifold structure and the quotient map  $q: M_i - M_{i+1} \rightarrow (M_i - M_{i+1})/\mathcal{G}$  is a  $C^\omega$  locally trivial fibration. Moreover, the quotient manifold and the quotient map are piecewise algebraic.*

Here are some remarks. In (2), we can see that  $\mathcal{G}|_{M_i - M_{i+1}} \rightrightarrows M_i - M_{i+1}$  is a regular Lie groupoid on each connected component of  $M_i - M_{i+1}$ . In (3), *piecewise algebraic spaces and maps* are the notions introduced by Kontsevich–Soibelman [8, Appendix] (roughly, such a space is made by gluing semialgebraic subsets via semialgebraic isomorphisms). Note that  $(M_i - M_{i+1})/\mathcal{G}$  may have several connected components of different dimension. Applying this theorem to real algebraic manifold with real algebraic group action  $\mathcal{G} = G \times M \rightrightarrows M$ , we recover the result of Mather and Vassiliev (precisely saying, our claim is a bit stronger, because we also show the piecewise algebraicity in (3)).

The above theorem will be presented in a slightly different form for a general o-minimal category over  $\mathbb{R}$ , and also for subanalytic or general  $\mathfrak{X}$ -category with the assumption that both  $\mathcal{G}$  and  $M$  are bounded (Theorem 3.1). That is, the word ‘semialgebraic’ in the statement above is replaced by ‘definable’ within a more general context. However, we have to restrict the regularity of manifolds and maps to be of class  $C^r$  with  $1 \leq r < \infty$ , instead of  $C^\omega$ . The reason is due to a key tool used in our proof.

The proofs of Theorem 1.1 and 3.1 are close to Mather’s idea in [11]. Actually, Mather’s proof rather fits the case of groupoid than the case of group action (while Vassiliev’s proof fits the latter case), thus our formulation is natural in this sense. A crucial difference from Mather’s is the following point. To show (3), Mather used the original version of Thom’s isotopy lemma [12], which is achieved by integrating a stratified vector field ( $C^\infty$  on strata) and approximating the obtained  $C^\infty$  trivialization map by a  $C^\omega$  one, so the finally obtained trivialization map is not semialgebraic in general (also Vassiliev referred to Artin’s theory on algebraic spaces for finding  $C^\omega$  charts in (3)). That does not fit with the context of tame topology, namely, we have to avoid to use integration or algebraic spaces. Instead of that, we employ, as specially refined techniques, Shiota’s isotopy lemma and approximation theorem within an o-minimal or  $\mathfrak{X}$ -category [14, (II.5.2), (II.6.1)]. This approximation theorem is only shown for the class of  $C^r$  with  $0 \leq r < \infty$  in general, i.e., it is unsolved yet for  $r = \infty, \omega$ . Thus Theorem 3.1 is stated only with the regularity of finite order. On the other hand, the approximation theorem in semialgebraic and subanalytic category holds under any regularity, i.e.,  $r$  can be  $\infty, \omega$  [15, 20]. Thus above Theorem 1.1 is stated with the regularity of class  $C^\omega$  in (2) and (3). Note again that our proof uses an essentially different tool from that of Mather and Vassiliev.

The present paper consists of the following sections. In §2, we recall some notions of o-minimal category over  $\mathbb{R}$  and  $\mathfrak{X}$ -category, and Whitney stratifications and Lie groupoids in the category. We also recall two key tools, isotopy lemma and approximation theorem in the category. In §3, we state and prove our main theorem.

## 2. PRELIMINARIES

In this section, we recall some notions of geometry of definable sets and maps, and a definable version of stratification theory and Lie groupoid theory. We also recall two key tools, isotopy lemma and approximation theorem for definable maps. Our main geometric objects in the present paper are *definable Lie groupoids*.

Hereafter,  $r$  denotes a fixed integer such that  $1 \leq r < \infty$ .

**2.1. Definable sets and maps.** We firstly recall definitions of *Shiota's  $\mathfrak{X}$  and  $\mathfrak{X}_0$ -category* or an *o-minimal category* over the real field  $\mathbb{R}$ . These are legitimate generalizations of semialgebraic and subanalytic category. For a detail, see [14, 18].

**Definition 2.1** ( $\mathfrak{X}$  and  $\mathfrak{X}_0$ -category [14, Chap.II, p.95, p.146]).

(1) Let  $\mathfrak{X}$  be a family of subsets of Euclidean spaces which satisfies the following axioms:

**Axiom (i):** Every algebraic set in any Euclidean space is an element of  $\mathfrak{X}$ ;

**Axiom (ii):** If  $X_1, X_2$  are elements of  $\mathfrak{X}$ , then  $X_1 \cap X_2$ ,  $X_1 - X_2$ , and  $X_1 \times X_2$  are elements of  $\mathfrak{X}$ ;

**Axiom (iii):** If  $X \subset \mathbb{R}^n$  is an element of  $\mathfrak{X}$  and  $p: \mathbb{R}^n \rightarrow \mathbb{R}^{n-1}$  is a linear map such that the restriction to the closure of  $X$  in  $\mathbb{R}^n$  is proper, then  $p(X) \in \mathfrak{X}$ ;

**Axiom (iv):** If  $X \subset \mathbb{R}$  is an element of  $\mathfrak{X}$ , then each point of  $\mathbb{R}$  has a neighborhood  $U$  in  $\mathbb{R}$  such that  $X \cap U$  is a finite union of finitely many points and intervals.

(2) Let  $\mathfrak{X}_0$  be a family  $\mathfrak{X}$  which satisfies the following stronger axioms than the above (iii) and (iv):

**Axiom (iii)<sub>0</sub>:** If  $X \subset \mathbb{R}^n$  is an element of  $\mathfrak{X}_0$ , and  $p: \mathbb{R}^n \rightarrow \mathbb{R}^{n-1}$  is a linear map, then  $p(X) \in \mathfrak{X}_0$ ;

**Axiom (iv)<sub>0</sub>:** If  $X \subset \mathbb{R}$  is an element of  $\mathfrak{X}_0$ , then  $X$  is a finite union of points and intervals.

An  $\mathfrak{X}$ -set is an element of  $\mathfrak{X}$ , and an  $\mathfrak{X}$ -map is a continuous map between  $\mathfrak{X}$ -sets whose graph is an  $\mathfrak{X}$ -set. Similarly we define an  $\mathfrak{X}_0$ -set and an  $\mathfrak{X}_0$ -map. An  $\mathfrak{X}_0$ -category is the same as an *o-minimal category over  $\mathbb{R}$* .

**Remark 2.2** (boundedness condition for  $\mathfrak{X}$ -maps). Let  $X' \subset X \subset \mathbb{R}^m$  and  $Y' \subset Y \subset \mathbb{R}^n$  be  $\mathfrak{X}_0$ -sets and  $f: X \rightarrow Y$  an  $\mathfrak{X}_0$ -map. Thanks to the axiom (iii)<sub>0</sub>, the preimage  $f^{-1}(Y')$  and the image  $f(X')$  are  $\mathfrak{X}_0$ -sets. However, for an  $\mathfrak{X}$ -map  $f: X \rightarrow Y$  between  $\mathfrak{X}$ -sets, the same claim does not hold in general. Then we often require the following boundedness condition for  $f$ :

(I) For any bounded subset  $B \subset \mathbb{R}^m$ ,  $f(X \cap B) \subset \mathbb{R}^n$  is bounded.

(II) For any bounded subset  $C \subset \mathbb{R}^n$ ,  $f^{-1}(C) \subset \mathbb{R}^m$  is bounded.

According to [14, (II.1.1), (II.1.6)], the boundedness condition ensures that  $f^{-1}(Y')$  and  $f(X')$  are  $\mathfrak{X}$ -sets, and that for another  $\mathfrak{X}$ -map  $g: Y \rightarrow \mathbb{R}^p$ ,  $g \circ f$  is an  $\mathfrak{X}$ -map.

Throughout the present paper, we work over such a category (semialgebraic, subanalytic,  $\mathfrak{X}$ -category, and o-minimal category over  $\mathbb{R}$ ), and use the words, *definable sets/maps*, for simplicity.

**2.2. Definable Whitney stratifications.** We recall some notions and facts of stratification theory. In this paper, a definable set  $X \subset \mathbb{R}^m$  is said to be a ( $k$ -dimensional) *definable  $C^r$  manifold* if  $X$  is a ( $k$ -dimensional)  $C^r$  regular submanifold of  $\mathbb{R}^m$  (that is, every point  $x \in X$  has a neighborhood  $U$  in  $\mathbb{R}^m$  and a definable  $C^r$  diffeomorphism  $\varphi: U \rightarrow \varphi(U) \subset \mathbb{R}^m$  such that  $\varphi(X \cap U) = \varphi(U) \cap (\mathbb{R}^k \times \{0\})$ ). Let  $V \subset \mathbb{R}^m$  denote a definable set.

**Definition 2.3** (definable  $C^r$  stratification). A *definable  $C^r$  stratification* of  $V$  is a partition  $\mathcal{S}$  of  $V$  into definable  $C^r$  manifolds which is locally finite at each point of  $\mathbb{R}^m$ , i.e., for each  $x \in \mathbb{R}^m$ ,

there exists a neighborhood  $U$  of  $x$  in  $\mathbb{R}^m$  such that  $\#\{S \in \mathcal{S} \mid S \cap U \neq \emptyset\} < \infty$ . Each member belonging to  $\mathcal{S}$  is called a *stratum* of  $\mathcal{S}$ .

**Proposition 2.4** ([14, (II.1.8)]). *Every definable set admits a definable  $C^r$  stratification.*

We define the *dimension* of  $V$  as the highest dimension of strata belonging to a stratification, and write it  $\dim V$ .

**Definition 2.5** (regular/singular point). Let  $x \in V$  be a point. A point  $x \in V$  is said to be  $C^r$  *regular* of dimension  $k$  if there exists an open neighborhood  $U$  of  $x$  in  $\mathbb{R}^m$  such that  $V \cap U$  is a  $k$ -dimensional  $C^r$  regular submanifold of  $\mathbb{R}^m$ . A point  $x \in V$  is said to be  $C^r$  *singular* if  $x$  is not regular of highest dimension (i.e.,  $\dim V$ ).

Let  $\Sigma_r V$  denote the set of all  $C^r$  singular points of  $V$ , which is called the  $C^r$  *singular set* of  $V$ .

**Lemma 2.6** ([14, (II.1.10)]). *The set  $\Sigma_r V$  is a definable closed subset of  $V$  of dimension less than  $\dim V$ .*

Let  $X_\alpha, X_\beta \subset \mathbb{R}^m$  be definable  $C^r$  manifolds. We here omit the definition of the *Whitney (b)-regularity condition* of  $X_\alpha$  over  $X_\beta$  at a point  $y \in X_\beta$ . See [5, 12, 14] for a detail.

**Definition 2.7** (bad set). The *bad set*  $B(X_\alpha, X_\beta)$  of the pair  $(X_\alpha, X_\beta)$  is the subset of  $X_\beta$  consisting of points at which  $X_\alpha$  fails to be Whitney (b)-regular over  $X_\beta$ .

**Lemma 2.8** ([14, (II.1.13)], [13, Lemma 2.4]). *The set  $B(X, Y)$  is a definable subset of  $Y$  of dimension less than  $\dim Y$ .*

**Definition 2.9** (the frontier condition). The pair  $(X_\alpha, X_\beta)$  satisfies the *frontier condition* if  $\text{Cl}_{\mathbb{R}^m} X_\alpha \cap X_\beta \neq \emptyset$  implies that  $\text{Cl}_A X_\alpha \supset X_\beta$ , where  $\text{Cl}_A B$  means the closure of  $B$  in  $A$ .

**Definition 2.10** (definable Whitney stratification). Let  $V \subset \mathbb{R}^m$  be a definable set. A definable  $C^r$  stratification  $\mathcal{S}$  of  $V$  is said to be a *definable  $C^r$  Whitney stratification* if every pair of strata of  $\mathcal{S}$  satisfies the Whitney (b)-regularity condition and the frontier condition.

We know the existence theorem of definable  $C^r$  Whitney stratification of definable subsets [5, (2.7)], [14, (I.2.2), (II.1.14)]. For later use in our proof of the main result, we state it in a slightly modified form.

**Proposition 2.11.** *Let  $V \subset \mathbb{R}^n$  be a definable closed subset and  $V' \subset V$  a definable  $C^r$  manifold such that  $\dim V = \dim V' > \dim(V - V')$ . Then,  $V$  admits a finite definable  $C^r$  Whitney stratification such that  $V'$  is the top stratum.*

**Proof.** We put  $d = \dim V = \dim V'$  and define a filtration of  $V$  by closed subsets inductively:

$$V = V_0 \supset V_1 \supset \cdots \supset V_d \supset V_{d+1} = \emptyset,$$

where  $V_0 := V$ ,  $V_1 := V - V'$ , and for each  $i \geq 1$ ,

$$V_{i+1} := \begin{cases} V_i & (\text{codim } V_i > i) \\ \text{Cl}_V \left( \Sigma_r V_i \cup B(V', V_i - \Sigma_r V_i) \cup \bigcup_{j=1}^{i-1} B(V_j - \Sigma_r V_j, V_i - \Sigma_r V_i) \right) & (\text{codim } V_i = i). \end{cases}$$

By Lemma 2.6 and Lemma 2.8, we can obtain that  $\text{codim } V_i \geq i$  and each  $V_i$  is definable, thus the induction works. From this construction, the partition  $\{V_i - V_{i-1}\}_{i=0}^d$  of  $V$  is a definable  $C^r$  Whitney stratification. ■

**Remark 2.12** (regularity ( $r = \omega$ ) in semialgebraic/subanalytic case). Lemma 2.6 holds even if we replace “definable  $C^r$ ” as “semialgebraic  $C^\omega$  (that is Nash)” and “subanalytic  $C^\omega$ ” [3, Proposition 9.7.4], [16, Theorem 1.2.2 (v)]. Thus, Proposition 2.11 also holds.

**2.3. Isotopy lemma and Approximation theorem.** We state a definable version of isotopy lemma and approximation theorem proved by Shiota in [14].

2.3.1. *Isotopy lemma.* The definable version of isotopy lemma will critically be used in the proof of our main result, especially, in finding a fibration structure of the quotient map and finding a manifold structure of the quotient space. See [12] for the original version — Thom’s isotopy lemma.

**Definition 2.13** (definable  $C^r$  locally trivial fibration). Let  $X \subset \mathbb{R}^n$  be a definable set and  $Y \subset \mathbb{R}^p$  a definable  $C^r$  manifold.

- (1) A definable map  $f: X \rightarrow Y$  is said to be of class  $C^r$  if  $f$  is extended to a  $C^r$  map from a neighborhood of  $X$  in  $\mathbb{R}^n$  to  $\mathbb{R}^p$ .
- (2) A definable  $C^r$  map  $f: X \rightarrow Y$  is said to be a *definable  $C^r$  locally trivial fibration* if for each point  $y \in Y$ , there exist a neighborhood  $V$ , which is definable, of  $y$  in  $Y$  and a definable  $C^r$  map  $\Phi: f^{-1}(V) \rightarrow f^{-1}(y)$  such that the map  $(f, \Phi): f^{-1}(V) \rightarrow V \times f^{-1}(y)$  is  $C^r$  diffeomorphic.

The map  $(f, \Phi)$  is called a *trivialization map* of  $f$  on  $V$ .

**Theorem 2.14** (Shiota’s (first) isotopy lemma [14, (II.6.1)]). *Let  $X \subset \mathbb{R}^n$  be a definable set,  $Y \subset \mathbb{R}^p$  a definable  $C^1$  manifold, and  $f: X \rightarrow Y$  a proper definable  $C^1$  map. (Furthermore, assume that  $f$  satisfies the boundedness condition (II) in Remark 2.2 unless the category is  $\mathfrak{X}_0$ .) Suppose that  $X$  admits a finite definable  $C^1$  Whitney stratification  $\{X_\alpha\}$  and  $f|_{X_\alpha}: X_\alpha \rightarrow Y$  is a  $C^1$  submersion onto  $Y$  for each  $\alpha$ . Then,  $f$  is a definable  $C^0$  locally trivial fibration whose restriction  $f|_{X_\alpha}$  to each  $X_\alpha$  is a definable  $C^1$  locally trivial fibration.*

Note that we do not know whether this theorem holds for any regularity  $r \geq 2$ .

2.3.2. *Approximation theorem.* In our later argument, we will focus on one stratum (top dimensional stratum) of  $X$ . Using the following Theorem 2.15, we can find a definable trivialization map whose regularity is high enough as we want near the  $C^1$  trivialization map obtained by the above Theorem 2.14.

As its preparation, let us shortly recall about spaces of definable maps and their topology (the following notation refers to [19]). Let  $\mathcal{D}^1(X, Y)$  denote the space of definable  $C^1$  maps between definable  $C^1$  manifolds  $X$  and  $Y$ . For  $f \in \mathcal{D}^1(X, \mathbb{R})$ , consider the derivative  $df: X \rightarrow \mathbb{R}^{\dim X}$  and define

$$|f|_1 := |f| + |df|: X \rightarrow \mathbb{R},$$

where  $|\cdot|$  is the Euclidean norm. Also, for a definable function  $\varepsilon: X \rightarrow (0, \infty)$  (in case of  $\mathfrak{X}$ , require that  $\varepsilon$  is bounded), define

$$\mathcal{U}_\varepsilon^1(f) := \{g \in \mathcal{D}^1(X, \mathbb{R}) \mid |f - g|_1 < \varepsilon\}.$$

Such  $\mathcal{U}_\varepsilon^1(f)$  produce the *definable  $C^1$  topology* on  $\mathcal{D}^1(X, \mathbb{R})$ . It is similar for  $\mathcal{D}^1(X, Y)$ .

**Theorem 2.15** (approximation theorem for definable maps [14, (II.5.2)]). *Let  $X, Y$  be definable  $C^r$  manifolds and  $f: X \rightarrow Y$  a definable  $C^1$  map. (Furthermore, assume that  $f$  satisfies the boundedness condition (I) in Remark 2.2 unless the category is  $\mathfrak{X}_0$ .) Then,  $f$  can be approximated by a definable  $C^r$  map arbitrary closely in the definable  $C^1$  topology.*

We deduce from this theorem the following assertion immediately.

**Corollary 2.16.** *Let  $X, Y$  be definable  $C^r$  manifolds and  $f: X \rightarrow Y$  a definable  $C^r$  map. (Furthermore, assume that  $f$  satisfies the boundedness condition (I) in Remark 2.2 unless the category is  $\mathfrak{X}_0$ .) If  $f$  is a definable  $C^1$  locally trivial fibration, then  $f$  is also a definable  $C^r$  locally trivial fibration.*

For the sake of completeness, we give a short proof of Corollary 2.16.

**Proof.** Let  $y \in Y$  be a point,  $V$  an open neighborhood of  $y$  in  $Y$ , and

$$(f, \Phi): f^{-1}(V) \rightarrow V \times f^{-1}(y)$$

the definable  $C^1$  trivialization map of  $f$  over  $V$ . Put  $S = \mathcal{D}^1(f^{-1}(V), V \times f^{-1}(y))$  with the definable  $C^1$  topology. Note that the subset of all diffeomorphisms is open in  $S$  (see [14, (II.5.3)] for the detail). Hence, applying Theorem 2.15 to the map  $\Phi: f^{-1}(V) \rightarrow f^{-1}(y)$ , we can find a definable  $C^r$  map  $\varphi: f^{-1}(V) \rightarrow f^{-1}(y)$  near  $\Phi$  such that  $(f, \varphi)$  is a definable  $C^r$  diffeomorphism. ■

**Remark 2.17** (regularity ( $r = \omega$ ) in semialgebraic/subanalytic case). Theorem 2.15 (and hence, Proposition 2.16) hold even if we replace “definable  $C^r$ ” as “semialgebraic  $C^\omega$  (that is Nash)” and “subanalytic  $C^\omega$ ” [15, 20].

**2.4. Definable Lie groupoids.** We recall some notions of Lie groupoid theory. For a detailed account of basic Lie groupoid theory, see [10].

**Definition 2.18** (definable  $C^r$  Lie groupoid). A *definable  $C^r$  Lie groupoid*  $\mathcal{G} \rightrightarrows M$  consists of the following data with conditions. First, the data are

- space of arrows:** a definable  $C^r$  manifold  $\mathcal{G}$ ;
- space of objects:** a definable  $C^r$  manifold  $M$ ;
- source map and target map:** two surjective definable  $C^r$  submersions  $s, t: \mathcal{G} \rightarrow M$ ;
- composition map:** a definable  $C^r$  map  $c: \mathcal{G}^{(2)} \rightarrow \mathcal{G}$ ,  $g \cdot h := c(g, h)$ , where

$$\mathcal{G}^{(2)} = \{(g, h) \in \mathcal{G} \times \mathcal{G} \mid s(g) = t(h)\};$$

- unit section:** a definable  $C^r$  embedding  $u: M \rightarrow \mathcal{G}$ ;
- inverse map:** a definable  $C^r$  diffeomorphism  $i: \mathcal{G} \rightarrow \mathcal{G}$ ,  $g^{-1} := i(g)$ .

Second, the required conditions are that for all  $g, h, k \in \mathcal{G}$  and  $m \in M$ , the following properties hold whenever they are defined:

- composition:**  $(g \cdot h) \cdot k = g \cdot (h \cdot k)$ ,  $s(g \cdot h) = s(h)$ ,  $t(g \cdot h) = t(g)$ ;
- unit:**  $s(u(m)) = t(u(m)) = m$ ;
- inverse:**  $g^{-1} \cdot g = u(s(g))$ ,  $g \cdot g^{-1} = u(t(g))$ .

Shortly saying, a Lie groupoid is an invertible category with differentiable structure.

**Example 2.19.** Here we may forget the definability of Lie groupoids.

- (a) Suppose that a Lie group  $G$  acts on a manifold  $M$ . Then  $\mathcal{G} := G \times M$  forms a Lie groupoid  $\mathcal{G} \rightrightarrows M$  with source map  $s: \mathcal{G} \rightarrow M$ ,  $s(g, m) := m$  and target map  $t: \mathcal{G} \rightarrow M$ ,  $t(g, m) := g.m$ . Especially, any Lie group  $G$  forms a Lie groupoid (consider the trivial action on  $M = \{*\}$ ).
- (b) Let  $f: M \rightarrow N$  be a submersion between manifolds. Then  $\mathcal{G} := M \times_N M$  forms a Lie groupoid  $\mathcal{G} \rightrightarrows M$  with source map  $\text{pr}_1: \mathcal{G} \rightarrow M$  and target map  $\text{pr}_2: \mathcal{G} \rightarrow M$ .
- (c) A Lie groupoid  $\mathcal{G} \rightrightarrows M$  is called an *orbifold groupoid* if both  $s, t: \mathcal{G} \rightarrow M$  are local diffeomorphisms and  $(s, t): \mathcal{G} \rightarrow M \times M$  is proper.

Below we simply say “definable” Lie groupoids/manifolds/maps/locally trivial fibrations to mean “definable  $C^r$ ”, unless specifically mentioned.

Let  $\mathcal{G} \rightrightarrows M$  be a definable Lie groupoid.

**Definition 2.20** (saturation, orbit, invariance, and orbit space). For a subset  $S \subset M$ , the ( $\mathcal{G}$ -)saturation of  $S$  is the subset

$$\mathcal{G}.S := \{t(g) \in M \mid g \in \mathcal{G} \text{ and } s(g) \in S\} = t(s^{-1}(S)).$$

When  $S = \{m\}$ , its saturation  $\mathcal{G}.S = \mathcal{G}.m$  of  $S$  is also said to be the ( $\mathcal{G}$ -)orbit of the point  $m$ . A subset  $S \subset M$  is said to be  $\mathcal{G}$ -invariant if  $\mathcal{G}.S = S$ .

We define the equivalence relation

$$x \sim y \iff \mathcal{G}.x = \mathcal{G}.y$$

on  $M$ ; we consider the quotient space  $M/\mathcal{G} := M/\sim$  and the quotient map  $q: M \rightarrow M/\mathcal{G}$ . We call  $M/\mathcal{G} := M/\sim$  the orbit space of  $\mathcal{G}$ .

Note that if  $S \subset M$  is definable (and in case of  $\mathfrak{X}$ , if  $s$  and  $t$  satisfy the boundedness condition), then  $\mathcal{G}.S$  is also definable; if a definable submanifold  $X$  of  $M$  is  $\mathcal{G}$ -invariant, then the restriction  $\mathcal{G}|_X \rightrightarrows X$  also forms a definable Lie groupoid, where  $\mathcal{G}|_X := s^{-1}(X) = t^{-1}(X)$ .

**Example 2.21.** The following are examples of orbit spaces:

- (a) For the Lie groupoid in Example 2.19(a), its orbit space  $M/\mathcal{G}$  coincides with the orbit space  $M/G$  of the action of  $G$  on  $M$  as Lie group.
- (b) For the Lie groupoid in Example 2.19(b), its orbit space  $M/\mathcal{G}$  coincides with the image  $f(M)$  by  $f$ .
- (c) For the Lie groupoid in Example 2.19(c), its orbit space  $M/\mathcal{G}$  is nothing but an orbifold.

At the end of this section, we construct an invariant stratification of definable Lie groupoids by using Proposition 2.11. We first note that every definable Lie groupoid has a *partial* homogeneity around its each orbit:

**Lemma 2.22.** *Let  $g \in \mathcal{G}$ . Then, there exists a definable local bisection  $\sigma(U) \subset \mathcal{G}$  through  $g$ , that is,  $g$  has a definable neighborhood  $U$  of  $s(g)$  in  $M$  and a definable map  $\sigma: U \rightarrow \mathcal{G}$  such that  $s \circ \sigma = \text{id}_U$  and  $t \circ \sigma: U \rightarrow M$  is a definable diffeomorphism onto the open neighborhood  $t(\sigma(U))$  of  $t(g)$  in  $M$ .*

**Proof.** See [10, Proposition 1.4.9] for case of plain Lie groupoid. ■

**Proposition 2.23.** *Let  $V \subset M$  be a  $\mathcal{G}$ -invariant definable closed subset and  $V' \subset V$  a  $\mathcal{G}$ -invariant definable  $C^r$  manifold such that  $\dim V = \dim V' > \dim(V - V')$ . Then,  $V$  admits a finite definable  $C^r$  Whitney stratification such that  $V'$  is the top stratum and each stratum is  $\mathcal{G}$ -invariant.*

**Proof.** Take a definable Whitney stratification of  $V$  with the filtration by  $V_i$  as in the proof of Proposition 2.11. It suffices to check that each  $V_i$  is  $\mathcal{G}$ -invariant. Clearly, both  $V_0 := V$  and  $V_1 := V - V'$  are  $\mathcal{G}$ -invariant. Suppose that  $V_0, V_1, \dots, V_i$  are all  $\mathcal{G}$ -invariant. From Lemma 2.22 and the fact that both singularity and Whitney (b)-regularity condition are invariant under local diffeomorphisms, it holds that

$$\Sigma_r V_i, \quad B(V', V_i - \Sigma_r V_i), \quad \text{and} \quad B(V_i - \Sigma_r V_i, V_j - \Sigma_r V_j) \quad (j = 0, \dots, i-1)$$

are all  $\mathcal{G}$ -invariant. Hence  $V_{i+1}$  is  $\mathcal{G}$ -invariant. ■

**Remark 2.24** (regularity ( $r = \omega$ ) in semialgebraic/subanalytic case). Proposition 2.23 holds even if we replace “definable  $C^r$ ” as “semialgebraic  $C^\omega$  (that is Nash)” and “subanalytic  $C^\omega$ .”

## 3. THE MAIN RESULT

In this section, we state and prove the main result.

Let  $r$  be a fixed integer such that  $1 \leq r < \infty$ . Our main result is the following theorem.

**Theorem 3.1.** *Let  $\mathcal{G} \rightrightarrows M$  be a definable  $C^r$  Lie groupoid. (Furthermore, assume that both  $\mathcal{G}$  and  $M$  are bounded unless the category is  $\mathfrak{X}_0$ .) Then, there exists a filtration*

$$M = M_0 \supset M_1 \supset M_2 \supset \cdots \supset M_{d+1} = \emptyset$$

of  $M$  such that for each  $i = 0, 1, \dots, d (= \dim M)$ ,

- (1) the set  $M_i$  is a  $\mathcal{G}$ -invariant definable closed subset of  $M$ ;
- (2) the set  $M_i - M_{i+1}$  is a definable  $C^r$  manifold of codimension  $i$  in  $M$  (unless it is empty) and  $\{M_i - M_{i+1}\}_{i=0}^d$  is a definable  $C^r$  Whitney stratification of  $M$ ;
- (3) the quotient space  $(M_i - M_{i+1})/\mathcal{G}$  admits a  $C^r$  manifold structure and the quotient map  $q: M_i - M_{i+1} \rightarrow (M_i - M_{i+1})/\mathcal{G}$  is a  $C^r$  locally trivial fibration. Moreover, the quotient manifold and the quotient map are piecewise definable.

Here, a *piecewise definable  $C^r$  manifold* is a  $C^r$  manifold given by an atlas  $\{(U_\lambda, \varphi_\lambda)\}$  such that each  $\varphi(U_\lambda)$  is a definable set and each  $\varphi_\lambda \circ \varphi_\mu^{-1}$  is a definable  $C^r$  map; a *piecewise definable  $C^r$  map* is a continuous map between piecewise definable  $C^r$  manifolds whose each local representation is a definable  $C^r$  map.

**Remark 3.2** (assumption in case of  $\mathfrak{X}$ ). In case of  $\mathfrak{X}$ , we assume the following conditions which are equivalent to each other:

- $\mathcal{G}$  and  $M$  are bounded;
- $M$  is bounded and  $s$  and  $t$  satisfy the boundedness condition.

Then, we have the following properties which will be used later:

- The image of  $M$  and its subsets by embedding  $\mathbb{R}^n$  into  $\mathbb{R}\mathbb{P}^n$  become definable;
- For every definable subset  $S \subset M$ , the saturation  $\mathcal{G}.S$  becomes definable.

**Remark 3.3** (regularity ( $r = \omega$ ) in semialgebraic/subanalytic case). Theorem 3.1 holds even if we replace “definable  $C^r$ ” as “semialgebraic  $C^\omega$  (that is Nash)” and “subanalytic  $C^\omega$ ”, because of Remark 2.12, Remark 2.17, and the following proof. Therefore, especially, we obtain Theorem 1.1 stated in Introduction (cf. Mather [11] and Vassiliev [17]). According to a recent work of Vallette–Vallette [19], we may have a chance to improve the regularity for some restricted  $\mathfrak{X}_0$ -category.

For the proof, we introduce the following notion (cf. [11]).

**Definition 3.4** (definably smooth family of submanifolds). Let  $U, M$  be definable  $C^r$  manifolds and for each point  $u \in U$  it is assigned a definable  $C^r$  submanifold  $V_u$  of  $M$ . Then, the family  $\{V_u\}_{u \in U}$  is said to be *definably smooth* if the union

$$V := \coprod_{u \in U} u \times V_u \subset U \times M$$

is a definable  $C^r$  manifold and the projection  $\text{pr}_1: V \rightarrow U$  is a definable  $C^r$  locally trivial fibration.

First we replace (3) in Theorem 3.1 by the following (3').

**Lemma 3.5.** *Consider the same setup as in Theorem 3.1. Then, there is a filtration of  $M$  satisfying (1) and (2) of Theorem 3.1 and*

- (3') *The family  $\{\mathcal{G}.x\}_{x \in M_i - M_{i+1}}$  is definably smooth.*



We now divide the proof of Theorem 3.1 into two steps: we will first show that Lemma 3.5 implies Theorem 3.1, and then we prove Lemma 3.5. An essential idea of the proof is based on a sketchy proof in Mather [11].

**3.1. Reduction of Theorem 3.1 to Lemma 3.5.** It suffices to prove that Lemma 3.5 implies the condition (3) in Theorem 3.1.

Let  $\mathcal{G} \rightrightarrows M$  be a definable Lie groupoid. Suppose that we have a filtration by  $M_i$ 's as in Lemma 3.5 and  $i$  a fixed number. Put

$$M^i := M_i - M_{i+1}, \quad \mathcal{R}^i := \coprod_{x \in M^i} x \times \mathcal{G}.x = \{(x, y) \in M^i \times M^i \mid x \sim y\}.$$

The condition (3') in Lemma 3.5 means that  $\mathcal{R}^i$  is a definable manifold and the projection  $\text{pr}_1: \mathcal{R}^i \rightarrow M^i$  is a definable  $C^r$  locally trivial fibration.

We now show the following four claims which imply (3) in Theorem 3.1. The central idea is to find a definable version of 'slice theorem' (without the assumption on properness of the action).

**Claim 1.** *For every point  $x \in M^i$ , the orbit  $\mathcal{G}.x$  is a closed definable submanifold of  $M^i$ .*

*Proof of Claim 1.* Take a regular point of the definable set  $\mathcal{G}.x$ . By mapping a neighborhood of the point to around other points by definable bisections through arrows in  $s^{-1}(x)$ , then  $\mathcal{G}.x$  has a chart as a regular submanifold of  $M^i$  around each point.

Suppose that there exists a point  $y \in \text{Cl}_{M^i}(\mathcal{G}.x) - \mathcal{G}.x$ . Since  $\mathcal{G}.y \subset \text{Cl}_{M^i}(\mathcal{G}.x) - \mathcal{G}.x$  and orbits are definable, we obtain that

$$\dim \mathcal{G}.y \leq \dim(\text{Cl}_{M^i}(\mathcal{G}.x) - \mathcal{G}.x) < \dim \mathcal{G}.x.$$

However,  $x$  and  $y$  are points of  $M^i$  and  $\mathcal{R}^i \rightarrow M^i$  is locally trivial, thus  $\dim \mathcal{G}.y = \dim \mathcal{G}.x$ . This makes the contradiction. Thus,  $\mathcal{G}.x$  is closed in  $M^i$ .  $\square$

Take an arbitrary point  $a \in M^i$ . Since  $\mathcal{G}.a$  is a regular submanifold of  $M^i$ , there is a definable submanifold  $S$  of  $M^i$  passing through  $a$ , such that  $\mathcal{R}^i$  is trivialized over  $S$  and  $S$  intersects  $\mathcal{G}.a$  only at the point  $a$  transversely. Hereafter, we call  $S$  a *slice* in  $M^i$  to  $\mathcal{G}.a$  at the point  $a$ . Notice that  $t(\sigma(S)) \cap \mathcal{G}.a = \{t(\sigma(a))\}$  for any local bisection  $\sigma: U \rightarrow \mathcal{G}$  on an open neighborhood  $U$  of  $a$  in  $M^i$ .

**Claim 2.** *By shrinking  $S$  suitably if necessary,  $\mathcal{G}.S$  becomes to be open in  $M^i$  and  $S \cap \mathcal{G}.x$  is empty or consists of one point for each  $x \in M^i$ . In particular, the quotient space  $M^i/\mathcal{G}$  is Hausdorff.*

*Proof of Claim 2.* Consider the restriction of the source map to  $s^{-1}(S)$ ,

$$\tilde{s} = s|_{s^{-1}(S)}: s^{-1}(S) \rightarrow M^i.$$

The intersection of  $u(S)$  and  $s^{-1}(a)$  at  $u(a)$  is transverse in  $s^{-1}(S)$ , that is,

$$T_{u(a)}s^{-1}(S) = T_{u(a)}u(S) \oplus T_{u(a)}s^{-1}(a).$$

In addition,  $d\tilde{s}_{u(a)}$  maps

$$T_{u(a)}u(S) \oplus 0 \rightarrow T_a S, \quad 0 \oplus T_{u(a)}s^{-1}(a) \rightarrow T_a \mathcal{G}.a$$

surjectively. Thus,  $\tilde{s}$  is submersive at  $u(a)$ . From the implicit function theorem,  $\tilde{s}$  is an open map and hence  $\mathcal{G}.S$  becomes to be open in  $M^i$  by retaking  $S$  small enough. Next, consider the second factor projection

$$\rho = \text{pr}_2|_{\text{pr}_1^{-1}(S)}: \text{pr}_1^{-1}(S) \rightarrow \mathcal{G}.S.$$

Here, by using (3') of Lemma 3.5, we may assume that  $\text{pr}_1^{-1}(S)$  is diffeomorphic to  $S \times \mathcal{G}.a$  such that the first factor projection to  $S$  commutes with  $\text{pr}_1$ . We also write  $\rho: S \times \mathcal{G}.a \rightarrow \mathcal{G}.S$  for short. Since

$$d\rho_{(a,a)}: T_a S \oplus T_a \mathcal{G}.a \rightarrow T_a \mathcal{G}.S = T_a M^i$$

is a linear isomorphism from the transversality condition,  $\rho$  is a diffeomorphism on a neighborhood  $U$  of  $(a, a)$  in  $\text{pr}_1^{-1}(S)$ . Now suppose that for any neighborhood of  $a$  in  $S$ , there exists two points  $x, y \in S$  such that  $x \neq y$  and  $\mathcal{G}.x = \mathcal{G}.y$ . Then we can take two sequences  $(x_n), (y_n)$  on  $S$  such that  $x_n, y_n \rightarrow a$  ( $n \rightarrow \infty$ ) and  $x_n \neq y_n$  for each  $n$ . Since  $(x_n, x_n), (y_n, x_n) \rightarrow (a, a)$  ( $n \rightarrow \infty$ ), it follows that  $(x_N, x_N), (y_N, x_N) \in U$  for some number  $N$ . However, these two points of  $U$  are mapped to  $x_N \in \rho(U)$ , that makes the contradiction to that  $\rho$  is diffeomorphic to  $U$ . Finally, it is clear that  $M^i/\mathcal{G}$  is Hausdorff.  $\square$

Hereafter, we take all slices small enough as in Claim 2. Remark that the above  $\rho$  is a definable diffeomorphism, for it is bijective and locally diffeomorphic. Now we introduce a definable  $C^r$  manifold structure of  $M^i/\mathcal{G}$  by using slices.

**Claim 3.** *The quotient space  $M^i/\mathcal{G}$  admits a piecewise definable manifold structure.*

*Proof of Claim 3.* Take  $[a] = \mathcal{G}.a \in M^i/\mathcal{G}$  and a slice  $S$  at  $a \in M^i$ . The restriction of the quotient map  $q: M^i \rightarrow M^i/\mathcal{G}$  to  $S$  is bijective since  $S \cap \mathcal{G}.x$  has at most one point for each  $x \in M^i$ . In addition,  $q$  is continuous and open, and hence  $q|_S$  is a homeomorphism onto its image. Then we introduce the following chart around  $[a] \in M^i/\mathcal{G}$  using any slice  $S$  at  $a$ :

$$\varphi: q(S) \rightarrow S \rightarrow W \subset \mathbb{R}^s,$$

where the first arrow is  $(q|_S)^{-1}$  and the second arrow is a local chart of  $S$  onto an open set  $W \subset \mathbb{R}^s$  as a definable submanifold ( $s = \dim S$ ). We check that  $\{(q(S), \varphi)\}$  forms an atlas of a piecewise definable  $C^r$  manifold. Let  $S$  and  $S'$  be slices with  $q(S) \cap q(S') \neq \emptyset$ . For our convenience, we retake them as  $q(S) = q(S')$  (i.e.  $\mathcal{G}.S = \mathcal{G}.S'$ ). It suffices to show that  $g := (q|_{S'})^{-1} \circ q|_S: S \rightarrow S'$  is a definable  $C^r$  diffeomorphism. In fact, the map  $g$  is the restriction of the definable  $C^r$  map

$$\tilde{g} := \text{pr}_1 \circ \rho^{-1}: \mathcal{G}.S' \xrightarrow{\cong} S' \times \mathcal{G}.a \rightarrow S'$$

to  $S$ , where  $\Phi$  is a trivialization map of  $\text{pr}_1: \mathcal{R}^i \rightarrow M^i$  on  $S'$ . Therefore,  $g = \tilde{g}|_S$  is definable, of class  $C^r$ , and non-singular.  $\square$

**Claim 4.** *The quotient map  $q: M^i \rightarrow M^i/\mathcal{G}$  is a piecewise definable locally trivial fibration.*

*Proof of Claim 4.* For any chart  $(S, \varphi)$  of the above,  $q|_{\mathcal{G}.S}: \mathcal{G}.S = q^{-1}(q(S)) \rightarrow q(S)$  is locally expressed as  $\text{pr}_1: S \times \mathcal{G}.a \rightarrow S$  via  $\varphi$ .  $\square$

This completes the proof of (3) in Theorem 3.1.  $\blacksquare$

**3.2. Proof of Lemma 3.5.** Let  $\mathcal{G} \rightrightarrows M (\subset \mathbb{R}^n)$  be a definable Lie groupoid. We prove Lemma 3.5 by the induction on the codimension  $i$ . Assume that we have  $M = M_0 \supset M_1 \supset \cdots \supset M_i$  such that for every  $j = 1, \dots, i$ , it holds that

- (1)<sub>j</sub> the set  $M_j$  is a  $\mathcal{G}$ -invariant definable closed subset of  $M$  and  $\text{codim } M_j \geq j$ ;
- (2)<sub>j</sub> the set  $M_{j-1} - M_j$  is a definable  $C^r$  manifold of codimension  $j - 1$  in  $M$  and  $\{M_k - M_{k+1}\}_{k=0}^{j-1}$  is a definable  $C^r$  Whitney stratification of  $M - M_j$ ;
- (3)<sub>j</sub> the family  $\{\mathcal{G}.x\}_{x \in M_{j-1} - M_j}$  is definably smooth.

It suffices to find a subset  $M_{i+1} \subset M_i$  such that  $(1)_{i+1}$ ,  $(2)_{i+1}$ , and  $(3)_{i+1}$  hold.

If  $\text{codim } M_i > i$ , then it suffices to take  $M_{i+1} := M_i$ . So we assume that  $\text{codim } M_i = i$ . We first put

$$\mathcal{R}_i := \coprod_{x \in M_i} x \times \mathcal{G}.x = \{(x, y) \in M_i \times M_i \mid x \sim y\}.$$

To compactify fibers of  $\mathcal{R}_i$ , we embed  $\mathbb{R}^n$  into  $\mathbb{R}\mathbb{P}^n$  by  $(x_1, \dots, x_n) \mapsto [1 : x_1 : \dots : x_n]$ , where  $M \subset \mathbb{R}^n$ . Further, embed  $\mathbb{R}\mathbb{P}^n$  into some  $\mathbb{R}^N$ , for example, by the following semialgebraic map:

$$\mathbb{R}\mathbb{P}^n \rightarrow \mathbb{R}^{n+1+\frac{n(n+1)}{2}}, \quad [x_0 : x_1 : \dots : x_n] \mapsto \left( \frac{x_i x_j}{\sum_{k=0}^n x_k^2} \right)_{0 \leq i < j \leq n}.$$

We set

$$\overline{M}_i = \text{Cl}_{\mathbb{R}\mathbb{P}^n} M_i \subset \mathbb{R}\mathbb{P}^n, \quad \overline{\mathcal{R}}_i = \text{Cl}_{M_i \times \overline{M}_i} \mathcal{R}_i \subset M_i \times \overline{M}_i.$$

Then  $\overline{M}_i$  is compact and both  $\overline{M}_i$  and  $\overline{\mathcal{R}}_i$  are definable.

We now consider a definable Lie groupoid  $\mathcal{G} \rightrightarrows M \times \mathbb{R}^N$  with the action on the first factor; the sets  $\mathcal{R}_i$  and  $\overline{\mathcal{R}}_i$  are  $\mathcal{G}$ -invariant. Hence, by using Proposition 2.23, we have a  $\mathcal{G}$ -invariant definable Whitney stratification  $\mathcal{S} = \{S_\alpha\}$  of  $\overline{\mathcal{R}}_i$  such that the top stratum is  $\mathcal{R}_i - \Sigma_r \mathcal{R}_i$ . Let  $\pi$  denote the first factor projection  $\text{pr}_1 : \overline{\mathcal{R}}_i \rightarrow M_i$ , and  $X$  the preimage  $\pi^{-1}(M_i - \Sigma_r M_i) \subset \overline{\mathcal{R}}_i$ . Then we set

$$M_{i+1} := \text{Cl}_{M_i} \left( \Sigma_r M_i \cup \bigcup_{j=0}^{i-1} B(M_j - M_{j+1}, M_i - \Sigma_r M_i) \cup \bigcup_{\alpha} \pi(C(\pi|_{S_\alpha \cap X})) \cup \pi(\Sigma_r \mathcal{R}_i) \right),$$

where  $\pi|_{S_\alpha \cap X}$  denotes the restriction  $\pi|_{S_\alpha \cap X} : S_\alpha \cap X \rightarrow M_i - \Sigma_r M_i$ , which is a  $C^r$  map between manifolds, and  $C(\pi|_{S_\alpha \cap X})$  its critical point set.

We show that  $M_{i+1}$  satisfies  $(1)_{i+1}$ ,  $(2)_{i+1}$ , and  $(3)_{i+1}$ .

$(1)_{i+1}$  : It is obvious that  $\Sigma_r M_i$ ,  $B(M_j - M_{j+1}, M_i - \Sigma_r M_i)$ ,  $C(\pi|_{S_\alpha \cap X})$ , and  $\Sigma_r \mathcal{R}_i$  are  $\mathcal{G}$ -invariant and definable. Hence, we see that  $\pi(C(\pi|_{S_\alpha \cap X}))$  and  $\pi(\Sigma_r \mathcal{R}_i)$  are  $\mathcal{G}$ -invariant and definable (remember that  $\pi$  is  $\mathcal{G}$ -equivariant). Thus,  $M_{i+1}$  is  $\mathcal{G}$ -invariant and definable. Next,  $\Sigma_r M_i$  and  $B(M_j - M_{j+1}, M_i - \Sigma_r M_i)$  are nowhere dense in  $M_i$  for dimensional reason, and  $\pi(C(\pi|_{S_\alpha \cap X}))$  is nowhere dense in  $M_i$  from Sard's theorem. Moreover, we see that  $\pi(\Sigma_r \mathcal{R}_i)$  is nowhere dense as follows. Suppose that there exists a non-empty open subset  $U$  of  $M_i$  included in  $\pi(\Sigma_r \mathcal{R}_i)$ . Then,  $\pi^{-1}(U)$  is also an open set in  $\mathcal{R}_i$  such that

$$\emptyset \neq \pi^{-1}(U) \subset \pi^{-1}(\pi(\Sigma_r \mathcal{R}_i)) = \Sigma_r \mathcal{R}_i.$$

This makes the contradiction to that  $\Sigma_r \mathcal{R}_i$  is nowhere dense in  $\mathcal{R}_i$ . Consequently,  $M_{i+1}$  is also nowhere dense in  $M_i$ , and hence, we have that  $\text{codim } M_{i+1} > \text{codim } M_i$ .

$(2)_{i+1}$  : Note that  $M_i - M_{i+1}$  is open in  $M_i - \Sigma_r M_i$ , thus  $M_i - M_{i+1}$  is a definable manifold of codimension  $i$ . Moreover, for each  $j = 0, 1, \dots, i-1$ , since  $M_{i+1}$  contains the bad set  $B(M_j - M_{j+1}, M_i - \Sigma_r M_i)$ , the pair  $(M_j - M_{j+1}, M_i - M_{i+1})$  satisfies the Whitney (b)-regularity condition. Thus,  $\{M_j - M_{j+1}\}_{j=0}^i$  is a definable Whitney stratification of  $M - M_{i+1}$ .

$(3)_{i+1}$  : Put  $M^i := M_i - M_{i+1}$  again. Let  $X'$  denote the preimage  $\pi^{-1}(M^i) \subset X \subset \overline{\mathcal{R}}_i$ . Then  $X'$  is a closed subset of the manifold  $M^i \times \mathbb{R}^N$  and has the definable  $C^r$  Whitney stratification

$\mathcal{S}' = \{S'_\alpha\}$  induced from the stratification  $\mathcal{S} = \{S_\alpha\}$  of  $\overline{\mathcal{R}_i}$  with  $S'_\alpha := S_\alpha \cap X'$ . Moreover, let  $\pi'$  denote the definable  $C^r$  map

$$\pi|_{X'}: X' \rightarrow M^i.$$

Then  $\pi'$  is proper and for each  $\alpha$ , the restriction

$$\pi'|_{S'_\alpha} = \pi|_{S'_\alpha}: S'_\alpha \rightarrow M^i$$

is submersive. We show that for each  $\alpha$ , the image  $\pi'(S'_\alpha)$  is the union of some finite connected components of  $M^i$ . The openness is immediate from the submersivity of  $\pi'|_{S'_\alpha}$  and the implicit function theorem. To show the closedness, we take a control data  $\mathcal{T} = \{T_\alpha\}$  for  $\mathcal{S}'$  compatible with  $\pi'$  (see, e.g., [12, §7], [14, (II.6.10)]). Let  $|T_\alpha|$  denote the tubular neighborhood of  $S'_\alpha$  in  $M^i \times \mathbb{R}^N$  and  $\pi_\alpha: |T_\alpha| \rightarrow S'_\alpha$  the retraction. Now we fix a stratum  $S'_\alpha$ , and let  $S'_\beta$  be another stratum satisfying that  $S'_\beta \subset \text{Cl}_{X'} S'_\alpha$ . Since  $X'$  is closed in  $M^i \times \mathbb{R}^N$ , the map  $\pi_\beta: |T_\beta| \cap S'_\alpha \rightarrow S'_\beta$  is onto. Hence it follows that

$$\pi'(S'_\beta) = \pi' \circ \pi_\beta(|T_\beta| \cap S'_\alpha) = \pi'(|T_\beta| \cap S'_\alpha) \subset \pi'(S'_\alpha).$$

Then, from the frontier condition, we have that  $\pi'(S'_\alpha) = \pi'(\text{Cl}_{X'} S'_\alpha)$ . Note that since  $X'$  is closed in  $M^i \times \mathbb{R}^N$ , the subset  $\text{Cl}_{X'} S'_\alpha$  is also. Moreover, since  $\pi'$  is proper, it is closed. Hence, we also have that  $\pi'(S'_\alpha)$  is closed in  $M^i$ .

Now we apply Theorem 2.14 to  $\pi': X' \rightarrow M^i$  with  $\mathcal{S}'$  (over each connected component of  $M^i$ ), and after that, focus on the top dimensional stratum  $X'_0 := (\mathcal{R}_i - \Sigma_r \mathcal{R}_i) \cap X'$ . Then we see that

$$\pi'|_{X'_0} = \pi|_{X'_0}: X'_0 \rightarrow M^i$$

is a definable  $C^1$  locally trivial fibration. Applying Corollary 2.16, we also see that  $\pi|_{X'_0}$  is a definable  $C^r$  locally trivial fibration. Here, we notice that

$$X'_0 = (\mathcal{R}_i - \Sigma_r \mathcal{R}_i) \cap X' = \mathcal{R}_i \cap \pi^{-1}(M^i),$$

for  $\Sigma_r \mathcal{R}_i \cap \pi^{-1}(M^i) = \emptyset$  by the definition of  $M_{i+1}$ . Consequently, we obtain that the family  $\{\mathcal{G}_y\}_{y \in M^i}$  is definably smooth. This completes the proof. ■

#### ACKNOWLEDGEMENT

The author wishes to thank Toru Ohmoto, his supervisor, for guiding him to this subject and for instructions and discussions, and Satoshi Koike for valuable comments on our results. The author would also like to thank the editor and referees for their valuable advices and comments suggesting some additional contents. This work was supported by JST SPRING, Grant Number JPMJSP2119.

#### REFERENCES

- [1] N. A'Campo, L. Ji, and A. Papadopoulos: *On Grothendieck's tame topology*, Handbook of Teichmüller theory, Vol. VI, IRMA Lect. Math. Theor. Phys. **27**, pp. 521–533, European Mathematical Society (EMS), Zürich, 2016. DOI: [10.4171/161-1/17](https://doi.org/10.4171/161-1/17)
- [2] D. Ayala, J. Francis, and H. L. Tanaka: *Local structures on stratified spaces*, Adv. Math. **307** (2017) 903–1028. DOI: [10.1016/j.aim.2016.11.032](https://doi.org/10.1016/j.aim.2016.11.032)
- [3] J. Bochnak, M. Coste, and M. F. Roy: *Real Algebraic Geometry*, Ergeb. Math. Grenzgeb. (3) **36**, Springer-Verlag, 1998.
- [4] M. Crainic and J. N. Mestre: *Orbispaces as differentiable stratified spaces*, Lett. Math. Phys. **108** (2018) 805–859. DOI: [10.1007/s11005-017-1011-6](https://doi.org/10.1007/s11005-017-1011-6)
- [5] C. G. Gibson, K. Wirthmüller, A. A. du Plessis, and E. J. N. Looijenga: *Topological Stability of Smooth Mappings*, LNM **552**, Springer-Verlag, 1976.

- [6] A. Grothendieck: *Esquisse d'un Programme*, unpublished manuscript (1984), In L. Schneps and P. Lochak (Eds.): *Geometric Galois Actions*, London Math. Soc. Lecture Note Ser. **242** pp. 5–48, 243–283, Cambridge Univ. Press, 1997. DOI: [10.1017/cbo9780511758874.003](https://doi.org/10.1017/cbo9780511758874.003)
- [7] E. Hrushovski: *Groupoids, imaginaries and internal covers*, Turkish J. Math. **36** (2) (2012) 173–198. DOI: [10.3906/mat-1001-91](https://doi.org/10.3906/mat-1001-91)
- [8] M. Kontsevich and Y. Soibelman: *Deformations of algebras over operads and the Deligne conjecture*, In Conférence Moshé Flato 1999, Vol. I (Dijon), Math. Phys. Stud. **21**, pp. 255–307, Kluwer Acad. Publ., 2000.
- [9] J. Lurie: *Higher Algebra*, [lurie/papers/HA.pdf](https://www.math.berkeley.edu/~lurie/papers/HA.pdf), 2017.
- [10] K. Mackenzie: *General theory of Lie groupoids and Lie algebroids*, London Math. Soc. Lecture Note Ser. **213**, Cambridge Univ. Press, 2005. DOI: [10.1017/cbo9781107325883](https://doi.org/10.1017/cbo9781107325883)
- [11] J. N. Mather: *Infinite Dimensional Group Actions*, Société mathématique de France, Astérisque **32–33** (1976) 165–172.
- [12] J. N. Mather: *Notes on Topological Stability*, Bull. Amer. Math. Soc., **49**(4) (2012) 475–506. DOI: [10.1090/s0273-0979-2012-01383-6](https://doi.org/10.1090/s0273-0979-2012-01383-6)
- [13] N. Nguyen, N. Trivedi, and D. Trotman: *A Geometric Proof of the Existence of Definable Whitney Stratifications*, Illinois J. Math. **58** (2) (2014) 381–389. DOI: [10.1215/ijm/1436275489](https://doi.org/10.1215/ijm/1436275489)
- [14] M. Shiota: *Geometry of Subanalytic and Semialgebraic Sets*, Progress in Math. **150**, Birkhäuser, Boston, 1997. DOI: [10.1007/978-1-4612-2008-4](https://doi.org/10.1007/978-1-4612-2008-4)
- [15] M. Shiota: *Nash Manifolds*, LNM **1269**, Springer-Verlag, 1987.
- [16] M. Tamm: *Subanalytic sets in the calculus of variations*, Acta Math. **146** (1981) 167–199. DOI: [10.1007/bf02392462](https://doi.org/10.1007/bf02392462)
- [17] V. A. Vassiliev: *Lagrange and Legendre characteristic classes*, Adv. Stud. Contemp. Math. **3**, Gordon and Breach, New York, 1988.
- [18] L. van den Dries: *Tame Topology and O-minimal Structures*, London Math. Soc. Lecture Note Ser. **248**, Cambridge Univ. Press, 1998. DOI: [10.2307/421209](https://doi.org/10.2307/421209)
- [19] A. Valette and G. Valette: *Approximations in globally subanalytic and Denjoy-Carleman classes*, Adv. Math. **385** (2021) 107764. DOI: [10.1016/j.aim.2021.107764](https://doi.org/10.1016/j.aim.2021.107764)
- [20] H. Whitney: *Analytic extensions of differential functions in closed sets*, Trans. Amer. Math. Soc. **36** (1934) 63–89. DOI: [10.1090/s0002-9947-1934-1501735-3](https://doi.org/10.1090/s0002-9947-1934-1501735-3)

MASATO TANABE, DEPARTMENT OF MATHEMATICS, GRADUATE SCHOOL OF SCIENCE, HOKKAIDO UNIVERSITY, KITA 10, NISHI 8, KITA-KU, SAPPORO, HOKKAIDO, 060-0810, JAPAN  
*Email address:* [tanabe.masato.i8@elms.hokudai.ac.jp](mailto:tanabe.masato.i8@elms.hokudai.ac.jp)